## The Experience of Emotion

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### **Key Words**

emotion, affect, consciousness

#### **Abstract**

Experiences of emotion are content-rich events that emerge at the level of psychological description, but must be causally constituted by neurobiological processes. This chapter outlines an emerging scientific agenda for understanding what these experiences feel like and how they arise. We review the available answers to what is felt (i.e., the content that makes up an experience of emotion) and how neurobiological processes instantiate these properties of experience. These answers are then integrated into a broad framework that describes, in psychological terms, how the experience of emotion emerges from more basic processes. We then discuss the role of such experiences in the economy of the mind and behavior.

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#### INTRODUCTION

As psychology transformed from the science of the mind (James 1890, Wundt 1897) into the science of behavior (Skinner 1953,

Watson 1919), an important topic slipped from scientific view: the subjective experience of emotion. Recently, scientific discourse on this topic has reemerged (Barrett 2006b, Frijda 2005, Lambie & Marcel 2002, Sabini & Silver 2005), but the prevailing wisdom remains that "emotion researchers need to figure out how to escape from the shackles of subjectivity if emotion research is to thrive" (LeDoux 2000, p. 156). Our current, impoverished understanding of emotion experience is due not only to American psychology's behaviorist legacy, but also to a view of the mind that eschews phenomenology and characterizes mental states as nothing but their causes. Consequently, knowing the causes of emotion is presumed sufficient to answer the question of what the experience is. While expedient, this scientific approach leaves out an important aspect of reality: people feel something when they experience emotion. Describing how emotion experiences are caused does not substitute for a description of what is felt, and in fact, an adequate description of what people feel is required so that scientists know what to explain in the first place.

In this chapter, we frame an emerging scientific agenda for understanding what an experience of emotion feels like and how such feelings arise. We begin by reviewing the place of experience in existing models of emotion that largely define the mind as nothing but the processes that produce it. Next, drawing on the writings of philosopher John Searle (1992, 2000, 2004), we argue that experiences of emotion are content-rich events that emerge at the level of psychological description, but are instantiated by neurobiological processes, and any theory of emotion experience must address both content and process. We then review the available answers to what is felt (i.e., the content that makes up an experience of emotion) and how neurobiological processes account for these properties of experience. These answers are then integrated into a broad framework that describes, in psychological terms, how the experience of emotion emerges from more

basic processes. Specifically, we suggest that the experience of emotion emerges from both a continuous stream of evolving affect and conceptual processing (much like the seeing "red" is a discrete experience of color that derives from a continuous spectrum of reflected light; Barrett 2006b). Affect, perceptions of the world, and conceptual knowledge about emotion are bound together at a moment in time, producing an intentional state where affect is experienced as having been caused by some object or situation. Finally, we briefly address several insights deriving from our view that have the potential to shape a scientific agenda for the study of emotion experience.

# SCIENTIFIC ACCOUNTS OF EMOTION EXPERIENCE

#### **Traditional Theories of Emotion**

Most influential scientific accounts of emotion assume that experiences of emotion—like other mental events—are entailed or instantiated by physical processes in the brain or body and thus can be explained by events in the physical world. In principle, this assumption must be correct, but materialist accounts (as they are called) often go one step further by assuming that experiences can be redefined as nothing but these causes, and therefore must be understood solely in terms of them (cf. Searle 1992, 2000, 2004). Materialist theories differ greatly in the specifics of how emotions are caused and manifest, but they share a common assumption that an explanation of emotion experience requires only an explication of causes or effects.

Behaviorist models of emotion, the extreme version of this view, define experience out of existence, or at least out of bounds for the scientific study of emotion (e.g., LeDoux 1996, 2000) by characterizing emotion as nothing but behavior. In this view, understanding emotion means understanding the causes of emotional behavior. Identity approaches to emotion redefine ex-

periences of emotion as bodily states (James 1890), or, as in basic emotion models, as activity in brain circuits, neurochemical systems, so-called affect programs, or action plans (Buck 1999; Ekman 1972, 1992; Izard 1977, 1993; Oatley & Johnson-Laird 1987; Panksepp 1998; Plutchik 1980), or some combination of the body and the brain (Damasio 1994, 1999).1 Functionalist approaches to emotion, such as some appraisal models, define emotion by its immediate causal relations; two experiences are of the same type (e.g., anger) if they are evoked by the same psychological situation (e.g., unpleasant, another person is to blame, controllable), are defined by the same behavioral consequences (e.g., antagonistic behavior), or some combination thereof (e.g., Arnold 1960; Frijda 1986; Lazarus 1966, 1991; Leventhal & Scherer 1987; Power 1997; Roseman et al. 1990, 1996; Scherer 1984).2

<sup>1</sup>Identity theories reduce mental states, such as emotion experiences, to states of the nervous system. Type-type identity theories of emotion experience (e.g., the basic emotion theories) are grounded in the assumption that for every kind of emotion experience (e.g., the experience of anger), there is only one type of neurophysiological state. Tokentoken identity theories of emotion experience (e.g., James 1890, 1894) argue that every instance of emotion characterized by a distinctive feeling will be identical with a distinctive physical state (e.g., different experiences of anger will be instantiated by different neurophysiological states). The current trend in some neuroscience papers to refer to emotion as increased activity in certain brain areas relies

on identity assumptions about emotion.

<sup>2</sup>Appraisal models began as an attempt to account for the mentalistic aspects of emotion experience in all its variety, but several prominent theories came to view the situation as the eliciting factor that produces emotional responses and in so doing, took on functionalist assumptions that reduce the experience of emotion to its immediate causal relations. Functionalist approaches assume that it is the function, rather than the brain or body, that makes an experience the type it is. In input-output functionalism, two instances of experience are tokens (exemplars) of the same type of emotion (e.g., anger) because they were evoked by the same stimuli (situations with certain kinds of meaning), because they were caused by the same sorts of cognitive mechanisms (typically called "appraisals"), or because of their relation to behavior (or tendencies to behave). A second form of functionalism offers a more distal or teleological approach to understanding why emotion evolved and what specific and adaptive functional roles it serves in the lives of the individuals and/or species, so that two experiences are

Materialist theories: theories rooted in the assumption that mental contents are caused by and therefore can be redefined as nothing but physical processes **Biological** naturalism: John Searle's approach to the mind-body problem that challenges both dualist and materialist views of the mind. Consciousness is treated as a biological phenomenon that is part of the natural world (like photosynthesis or digestion). Conscious states are defined as ontologically subjective (i.e., exist only as experienced by human or animal), content-rich (i.e., they feel like something), primarily intentional events (i.e., they are about or refer to something) that are ultimately constituted by, but not redefined as, neurobiological

events

### **Biological Naturalism**

"It is a mistake to confuse the evidence that we have about a subject matter for the subject matter itself. The subject matter of psychology is the human mind, and human behavior is evidence for the existence and features of the mind, but is not itself the mind" (Searle 2004, pp. 52–53).

Biological naturalism is a philosophical framework that stands in opposition to traditional materialist views of the mind (Searle 1992, 2000, 2004) and in so doing offers three novel tenets for the scientific study of emotion experience. First, an adequate account of emotion experience requires more than a specification of cause; it also requires a description of content (i.e., of what is felt) that is common to all experiences of emotion and that which distinguishes one experience from another. Descriptions of phenomenological content need not convey an experience of emotion in all its richness and complexity to have scientific utility and value (i.e., describing is not experiencing) (Edelman & Tononi 2000).<sup>3</sup>

Second, content cannot be entirely reduced to its causes. The experience of emotion, like any conscious state, is a system-level

tokens of the same emotion because they perform the same function in the life of the person feeling them. By characterizing specific contents of what is experienced (e.g., in anger, people experience their goals as being blocked by another person or by the structure of the situation) in terms of the cognitive processes that produce the content (e.g., in anger, people engage a literal cognitive mechanism for deciding whether or not their goals are blocked), these models are (perhaps unintentionally) reductionistic. Moreover, they stand in contrast to other appraisal models that define appraisals as dimensions of situated meaning that constitute an experience of emotion, rather than cause it.

property of the brain (which can be explained by neuronal activity), much as digestion is a system-level property of the gastrointestinal system (which can be explained causally by the chemistry of the body) or solidity is a property of the material world (which can be explained causally by the behavior of the molecules). To conflate these different levels of analysis would be to make what Ryle (2000) called a category error. Experiences of emotion can be entirely explained in terms of the neurobiological (material) features of the brain (i.e., they can be causally reduced to brain activity), but they cannot be exclusively equated with any single element or feature such as neural circuitry, biochemical properties of synaptic changes, and so on (i.e., they cannot be ontologically reduced to any material cause). Any conscious event has both neurobiological and phenomenological features. Therefore, knowing about brain activity (or, at another level of analysis, mental processes) alone will not provide a full scientific account of emotion experience. The job of science is to work out the "bridging laws" that link different levels of analysis (Nagel 1961).

Third, conscious states exist only from a first-person point of view. They are ontologically subjective, meaning they only exist when experienced by a conscious agent and cannot be redefined independently of the experiencer. Consequently, they cannot be eliminated in favor of third-person references to instrument-based measures of behavior, physiological activation, or neural events. It is not possible to measure more easily observable aspects of emotion (e.g., facial movements, vocal acoustics, voluntary behaviors, peripheral physiology) to learn something about its subjective aspect. To know what emotion feels like, it is necessary to ask people what they experience. The role of science is to study these subjective events in an epistemologically objective manner.

From the vantage point of biological naturalism, then, scientists must ask questions about subjectively experienced content to understand emotion experience; questions

<sup>&</sup>lt;sup>3</sup> Scientists sometimes make the mistake of assuming that a scientific description of emotion experience must represent what an emotion feels like with perfect fidelity. No description, however precise, can fully account for the experience of emotion, or for the experience of any conscious content, for that matter. Just as no description will ever allow a color-blind person to experience a color (no matter how much they read descriptions of the content), no scientific description of color instantiates the experience of color. Yet it is possible to study color perception and color experience from a scientific point of view.

about the material underpinnings of experience will never reveal the entire story. The question "What is the experience of emotion?" is really the question "What do people feel when they feel an emotion?" This is the question of content. What are the correct concepts for capturing or describing the psychological features of the system? Lambie & Marcel (2002) drew attention to the importance of this question in their recent conceptual analysis of emotion experience. The question "How does the brain instantiate these experiences?" is the straightforward (but difficult) question of how neurophysiological events constitute phenomenological contents. Although science is far from understanding how the brain produces conscious experience, it is possible to sketch the neural circuitry that becomes active during certain types of content. In the next two sections, we address each of these questions in turn. Answers to these two questions are then situated in a psychological framework that describes how experiences of emotion emerge from more basic processes.

# THE PHENOMENOLOGICAL CONTENTS OF EMOTION EXPERIENCE

Not all mental states are conscious, but conscious mental states are mental representations that can, in principle, be reported (Frith et al. 1999), although at any given time they may not be (for a different view, see Lambie & Marcel 2002). To say that a person is consciously experiencing emotion is to say that he or she has a mental representation of emotion: past feelings (memories), hypothetical feelings (imaginings), or feelings that are occurring in the moment (on-line experiences). The most direct way to measure the contents of a mental representation of emotion is to examine people's verbal behaviors regarding their own mental state, in the form of selfreports (e.g., narratives or simple ratings of emotion words using Likert-type scales). The use of specific words does not directly reveal

the contents of the specific mental states to which they refer, but self-reports are a type of communicative act, and communicative acts carry information about a person's internal state that can be inferred by a listener (Wilson & Sperber 2003), whether the listener is a friend, a therapist, or a scientist. Although self-reports are often not useful to explain why people experience what they do (i.e., self-reports do not reveal causal processes; Nisbett & Wilson 1977, Wilson & Dunn 2004), they are useful—and indeed essential—for revealing the ontological structure of consciousness (assuming that you have a willing and able respondent).

One way that scientists can infer the content in mental states such as experiences of emotion is by treating self-reports as verbal behaviors and examining how people use words to represent those experiences.<sup>4</sup> Self-report studies, where participants characterize their experiences using emotion words, reveal that states of pleasure or displeasure comprise mental representations of emotion and point to several contents of experience in addition to valence.

#### Core Affect

At its core, a mental representation of emotion is a contentful state of pleasure or displeasure (Barrett 2006b,c; Russell 2003; Russell & Barrett 1999), termed "core affect." The word "core" signifies a form of affective responding that functions as a kind of core knowledge about whether objects or events are helpful or harmful, rewarding or threatening, calling for acceptance or rejection (for a discussion of core knowledge, see Spelke 2000). States of pleasure and displeasure are termed core affect because (a) the capacity to experience pleasure and displeasure is universal to all humans (Mesquita 2003,

The experience of emotion: affect, perceptions of meaning in the world, and conceptual knowledge about emotion are bound together at a moment in time. producing an intentional state where affect is experienced as having been caused by some object or situation

#### Core affect:

information about the external world is translated into an internal affective code or state that indicates whether an object or situation is helpful or harmful, rewarding or threatening, requiring approach or withdrawal. With awareness, core affect is experienced as feelings of pleasure or displeasure that are to some extent arousing or quieting. Core affect may be constituted by a constantly changing stream of transient alterations in an organism's neurophysiological state that represents its immediate relationship to the flow of changing events

<sup>&</sup>lt;sup>4</sup>Other ways to infer a mental representation of emotion, such as observing a person's face or body, may be adequate at times (cf. Frith et al. 1999, Lambie & Marcel 2002), but they generally do not capture the full content of experience (Barrett 2006a, Ortony & Turner 1990, Russell, 2003).

Russell 1983, Scherer 1997b); (b) experiences of pleasure and displeasure are present at birth (Emde et al. 1976, Spitz 1965, Sroufe 1979); (c) all instrument-based measures of emotion give evidence of a person's pleasant and unpleasant state or its intensity [e.g., peripheral nervous system activation (Bradley & Lang 2000; Cacioppo et al. 1997, 2000); facial electromyographic activity (Cacioppo et al. 1997, 2000; Messinger 2002); vocal acoustics (Bachorowski 1999); expressive behavior (Cacioppo & Gardner 1999); and neural activations (Wager et al. 2003); for a review, see (Barrett 2006a)]; (d) pleasure and displeasure constitute a neuropsychologic barometer of the individual's relationship to an environment at a given point in time (Nauta 1971), such that (e) they form the core of consciousness (Edelman & Tononi 2000; Searle 1992, 2004; see A Psychological Framework for Understanding Emotion Experience section below).

<sup>5</sup>Considerable evidence shows that people can represent their experience in a dialectic fashion (Bagozzi et al. 1999, Kitayama et al. 2000, Schimmack et al. 2002, Scollon et al. 2005), and this has led some to wonder whether pleasure and displeasure really configure as a bipolar dimension of experience. This debate is easily remedied by remembering that a person cannot be aware of two scenes, or objects, or percepts within the same modality at exactly the same moment in time (as illustrated by a Necker cube, Gestalt images such as the young-lady/old-lady ambiguous figure, and incongruent inputs into two eyes in studies of binocular rivalry). So it is with pleasure and displeasure. Conscious experience can move at great speed (estimated at 100-150 ms per conscious moment; Edelman & Tononi 2000, Gray 2004), so that it is easy to shift back and forth between alternative experiences very quickly, and to summarize both experiences in a memory-based judgment. In fact, research that specifically limits the time window to momentary experience does not find dialectic representations at single moments in time (Leu et al. 2006, Scollon et al. 2005, Yik 2006). As a result, it very unlikely that pleasure and displeasure co-occur in real time, although people can quickly shift experience contents from one moment to the next, and summarize all of the experienced contents in memory. As usual, it all comes down to precision in scientific language, namely, what one means by "at once" in the sentence, "People can (or cannot) feel two things at once." The same argument can be made about emotional complexity, or feeling more than one emotion at once (Charles 2005).

There is mounting empirical evidence that mental representations of emotion have pleasure or displeasure at their core. People are able to give an explicit account of pleasant and unpleasant feelings using a variety of selfrating scales (Barrett & Russell 1998; Bradley & Lang 1994; Carroll et al. 1999; Frijda et al. 1989; Kitayama et al. 2000; Lang et al. 1993; Roseman et al. 1996; Russell et al. 1989; Scherer 1997b; Smith & Ellsworth 1985, 1987; Yik et al. 1999). Scales that are explicitly built to measure discrete emotions such as fear, anger, or sadness also provide strong evidence of a common core of pleasant and unpleasant feelings (Barrett & Russell 1998; Boyle 1986; Feldman 1993, 1995a; Mayer & Gaschke 1988; Russell 1980; Watson & Clark 1994; Watson & Tellegen 1985; Zuckerman & Lubin 1985) (for reviews, see Barrett 2006c, Barrett & Russell 1999, Russell & Barrett 1999, Watson et al. 1999). A large experiencesampling project involving idiographic analyses of experiences that were sampled in natural settings over many weeks verified that all participants (approximately 700 American college students) implicitly represented feelings of pleasure and displeasure (Barrett 2006c). This valenced content did not reflect the artificial influence of language (for evidence, see Barrett 2004, 2006b) or social desirability (Barrett 1996), but rather constituted an intrinsic content in mental representations of emotion.

Although core affect is ubiquitous, there are individual and group differences in the degree to which people characterize their experience in terms of pleasure and displeasure. Individuals who are sensitive to the evaluative properties of their surroundings implicitly emphasized the hedonics of their experience (Barrett 2006c). Furthermore, Japanese (compared with American) respondents more often report that they have not experienced any emotional content whatsoever, which suggests that people in these cultures less readily foreground their affective state (Mesquita & Kawasaka 2002).

### From Core Affect to Complex Mental Representations of Emotion

Core affect is not, in and of itself, sufficient for a mental representation of emotion. An experience of emotion is an intentional state—it is an affective state that is about something. Consequently, any description of emotion experience must go beyond pleasure and displeasure to give a systematic account of the phenomenological differences between emotions that we take to be psychologically distinct, such as anger, sadness, fear, pride, awe, and joy. Studies that focus on emotion words (e.g., angry, sad, afraid, guilty) to describe emotion experiences and studies of appraisals (i.e., the meaning of situations) reveal something about the content of these phenomenological differences. There is still much to be learned about the additional content that constitutes mental representations of emotion, but a brief review of the literature makes clear that mental representations of emotion include representations of arousal as well as relational and situational contents (Fitness & Fletcher 1993, Mesquita & Frijda 1992, Shaver et al. 1987, Shweder 1993).

**Arousal content.** Mental representations of emotion often, but not always, include some arousal-based content (i.e., feeling as if the mind or body is active, as in aroused, attentive, or wound-up, versus feeling that the mind or body is still, as in quiet, still, or sleepy). Felt activation is typically related to, but does not have a one-to-one correspondence with, actual physiologic activity (for a review, see Barrett et al. 2004, Wiens 2005). Cross-sectional studies examining how Western participants self-report their experiences using common English emotion words often give evidence of arousal-based content (for a review, see Russell & Barrett 1999). It is not yet clear, however, whether arousal is a property of a core affective state (as claimed by Russell 2003, Russell & Barrett 1999; also see The Neurobiology of Core Affect section below) because idiographic studies of emotion

experience indicate that many, but not all, participants in Western samples represent feelings of activation and deactivation in experiences of emotion (Barrett 1998, 2004; Barrett & Fossum 2001; Feldman 1995b). In comparison with those who are not very aware of their somatovisceral states, individuals who are interoceptively sensitive emphasize arousal as an aspect of their emotion experience (Barrett et al. 2004) and rate evocative stimuli as more arousing (Pollatos et al. 2005). One possibility is that a person's core affective state can be characterized by arousal (associated with the uncertainty regarding whether a stimulus will predict threat or reward, the need to pay more attention to a stimulus of importance, or an urgency to engage in active coping), but that people vary in their ability or propensity to attend to this property of their core affective state so as to experience it as a feeling.

#### Arousal content:

an experience of feeling active, aroused, attentive, or wound-up, versus feeling still, as in quiet, still, or sleepy. Does not have a simple one-to-one relationship to objectively measured physical states of arousal

# AROUSAL AND THE EXPERIENCE OF EMOTION

It is often assumed that arousal is essential to the experience of emotion because people perceive emotional feelings in their bodies. William James and later Antonio Damasio proposed that the experience of specific emotions results from the perception of specific and unique patterns of somatovisceral arousal. Schachter and Singer, in contrast, argued that the experience of emotion was due to the direct and explicit experience of a generalized autonomic arousal. Decades of research, however, suggest that neither of these views is correct in the strong sense (for a review, see Barrett et al. 2004). First, little support has been obtained for the idea that different categories of emotion are consistently associated with unique sets of visceral sensations. Second, different measures of autonomic, somatic, or cortical arousal tend not to correlate highly with one another, such that "arousal" is not a unitary phenomenon, suggesting that there is no single accepted definition of arousal. Third, people do not have automatic, immediate, and explicit access to autonomic and somatic activity. As a result, the exact role of bodily feelings in the experience of emotion is still an open scientific question.

#### Relational content:

the content in an emotion experience that represents the emoter's relationship to another person. In many cases, a mental representation of emotion incorporates the proximity or status of an individual with respect to other individuals present or imagined. Cultural models of relating tend to influence relational content

#### Situational content:

the meaning of a situation, particularly as it relates to the perceived cause of core affect.
Situational content has been mapped using several appraisal dimensions but likely goes beyond these dimensions to reference cultural meanings and practices

Relational content. In self-report studies using emotion words, people report experiencing content related to dominance or submission (Russell & Mehrabian 1977). A number of studies in which American and Japanese samples rated their experience on English and Japanese words (and their translations) have yielded a dimension of social engagement versus disengagement (e.g., Kitayama et al. 2000, Markus & Kitayama 1991). Although dominance and social engagement are not synonymous, both are consistent with the relational models in a particular cultural context: Dominance would be the high end of autonomy that is valued in North American cultures, and social engagement would be the high end of harmony or symbiosis that is normative in Japanese cultural contexts (Rothbaum et al. 2000).

Situational content. Mental representations of emotion are intentional states in that they contain some experience of a psychological situation that is perceived by the person to be causally linked to core affective feelings. Appraisals, when they are treated as descriptions (rather than causes) of situated meaning (e.g., Clore & Ortony 2000, Frijda 2006, Smith & Ellsworth 1985), provide the best available evidence for mapping the experience of a situation that occurs in a mental representation of emotion. Situational events are experienced as (a) novel or unexpected, (b) conducive or obstructive to some goal, and (c) compatible (or not) with norms and values (d) for which a person has (or does not have) some responsibility or agency. A situation is also experienced as calling for some maintenance or change in the behavioral stance (action readiness) where the parameters for actual action are probabilistically certain to some degree. These abstract dimensions of situational meaning show a remarkable degree of convergence across different appraisal models (see Table 29.1 in Ellsworth & Scherer 2003) and, together with core affect, account for a little less than half of the variance that differentiates categories of emotion experience (e.g.,

Frijda et al. 1989; Roseman 1991; Roseman et al. 1990, 1996; Scherer 1997b; Smith & Ellsworth 1985, 1987) (for reviews, see Mesquita & Ellsworth 2001, Scherer 1997a). These dimensions of experience also show a remarkable degree of consistency across cultures, although there is variability (e.g., Frijda et al. 1995, Mauro et al. 1992, Mesquita 2001).

**Beyond appraisal dimensions.** Despite their descriptive value for understanding mental representations of emotion, appraisal dimensions alone do not provide a sufficient account of what people feel when they experience an emotion. First, instances of experience that are categorized as the same emotion, such as anger, are constituted by a variety of experiences of both the physical surroundings and the sociocultural context (Mesquita & Leu 2006). An experience of anger might indeed involve an insult, where the situation is experienced as obstructing a person's goals, incompatible with his or her identity, and so on, but these abstract descriptions aggregate important phenomenological details that distinguish one feeling of anger from another. You might feel insulted when your friend violates a deeply held belief and you calmly explain your views; when someone cuts you off on the highway and you speed up, yell, or shake your fist; or when someone calls your intelligence into question, causing you to withdraw and quickly leave. The goal for the science of experience is to discover a parsimonious way to describe these variations in anger feelings, but in a fashion that conserves what is meaningfully different about them.

Second, although it is true that respondents across the world can describe emotion experiences in terms of the appraisal dimensions proposed by Western researchers, it is not clear that these dimensions are in fact the most salient aspects of non-Western emotion experiences (Mesquita & Leu 2006, Shweder & Haidt 2000). Contentful states of emotion will involve the cultural meanings and practices of self and relating (Markus & Kitayama

1994, Shweder & Haidt 2000). In an attempt to capture some of the sociocultural content of experience, a number of studies have added appraisal dimensions that reflect more salient meanings in non-Western cultural contexts (Mesquita & Ellsworth 2001). For example, esteem by others (status, honor) was an important experience for Surinamese and Turkish immigrants in the Netherlands, but not for Dutch indigenous people (Mesquita 2001).

Third, the appraisal approach to mapping mental contents is currently limited by the paucity of evidence that appraisal dimensions are adequate representations of emotion as they are experienced in the moment (Parkinson 1997; but see Mesquita & Kawaska 2002). The overwhelming majority of studies using an appraisal approach ask people to remember or imagine emotion experiences, and selfreports in this context tend to engage semantic knowledge or beliefs about emotion that have an uncertain relationship to the content of emotion experience in real time (Barrett 1997, Robinson & Clore 2002). As a result, these studies are best understood as measuring the contents that describe a prototypic experience of anger, sadness, fear, and the like, rather than on-line instances of emotion experience per se (for a discussion, see Barrett 2006b).

# THE NEURAL REFERENCE SPACE FOR THE EXPERIENCE OF EMOTION

Ontologically, experiences of emotion are pleasant or unpleasant states that contain additional experiential contents, such as felt arousal, and relational or situational meaning. At present, it is not possible to causally reduce these experiences to neurobiological processes and explain how neural activity instantiates specific emotional contents (or any conscious contents for that matter). It is possible, however, to offer a preliminary sketch of the brain areas that are active during experiences of emotion (i.e., a neural reference space for mental representations of emotion), and we do so in **Figure 1**. This

neural reference space is derived from neuroanatomical studies of the human brain and from neuroimaging studies of emotion experience, including an ongoing meta-analytic effort conducted by Barrett, Wager, and their students. A summary of studies that have imaged the experience of emotion (anger, sadness, fear, disgust, and happiness) and core affective feelings (pleasant and unpleasant affects) in normal participants using a number of different induction techniques (visual, auditory, olfactory, imagery, and memory) has produced a summary activation map (Figure 2) that is similar to the neural reference space for mental representations of emotion hypothesized in Figure 1.6 Many of the brain areas depicted in this neural reference space are part of the larger circuitry that entails consciousness more generally, because experiences of emotion are just one type of conscious content.

As we discuss in this section, the reference space includes circuitry in a ventral system at the front of the brain that is broadly related (although likely not specific) to core affective feelings of pleasure and displeasure. It is currently not possible to specify the brain areas that correspond to the other conscious contents found in mental representations of anger, sadness, fear, and so on (i.e., arousal-, relational-, and situation-based content).<sup>7</sup>

### Neural reference space: brain areas that show increased activity during a certain type of mental event, and presumably instantiate that mental content

<sup>&</sup>lt;sup>6</sup>The question of how the brain gives rise to emotion (and the experience of it) is often understood and answered (e.g., LeDoux 1996, Panksepp 1998) as a quest for "essential nodes" or brain systems dedicated to generating specific emotions, such as anger, sadness, fear, and so on. However, research guided by this quest has thus far produced little support for consistency and specificity in the circuitry that supports the experience and perception of emotion in humans, as evidenced by two recent meta-analyses (Murphy et al. 2003, Phan et al. 2002) summarizing the first decade of neuroimaging (fMRI and PET) research on emotion (for a review, see Barrett & Wager 2006). Although it may be premature to reject the idea of essential nodes for discrete emotions in the brain, it is not prudent to accept that idea too quickly, either.

<sup>&</sup>lt;sup>7</sup>It is difficult to assess the brain structures associated with arousal-related content because studies typically tend to confound three different types of arousal (i.e., the intensity of a stimulus, feelings of bodily activity, and feelings of alertness).

**OFC:** orbitofrontal cortex

VMPFC: ventromedial prefrontal cortex

ACC: anterior cingulate cortex However, research suggests that mental representations of emotion routinely involve a more dorsal medial prefrontal system that may support the cognitive processes involved with generating at least some of these contents, specifically conceptualizations of situational cause. Similar ventral and dorsal systems have been discussed previously as the circuitry supporting the generation and regulation of an emotional reaction (Ochsner & Gross 2005, Yamasaki et al. 2002) or affective state (Phillips et al. 2003).

### The Neurobiology of Core Affect

Figure 2 indicates that mental representations of emotion are consistently associated with increased activation involving a broad swath of the temporal lobe (including the amygdala), orbitofrontal cortex (OFC), and the ventromedial prefrontal cortex (VMPFC) (for definitions, see Figure 1). Together, these areas form a distributed, functional circuit in the ventral portion of the human brain that is involved in establishing the threat or reward value of a stimulus. Value is established by linking sensory information about the stimulus with a representation of how the stimulus affects the person's somatovisceral state (Barbas et al. 2003, Ghashghaei & Barbas 2002, Kringelbach & Rolls 2004, Ongur et al. 2003, Ongur & Price 2000). Neuroanatomical studies of both primates and humans, along with neuroimaging and lesion evidence in humans, suggest that this ventral system creates a context-sensitive neural representation of an object's value by influencing a person's core affective state to resemble that which has resulted from prior experiences with the object. This view has elements in common with the somatic marker hypothesis (e.g., Bechara et al. 2000) and is consistent with the evidence that OFC plays a role in reinforcement and reversal learning (Kringelbach 2005, Kringelbach & Rolls 2004).

Although the details remain to be specified, the available evidence suggests that neural representations of sensory information about a stimulus and its somatovisceral impact are entailed by two related functional circuits that make up the ventral system for core affect (for reviews, see Carmichael & Price 1996, Elliott et al. 2000, Ongur & Price 2000). The first functional circuit involves connections between the basolateral (BL) complex of the amygdala, which indelibly codes the original value of a stimulus (Bouton 2005), the central and lateral aspects of the OFC, which is necessary to a flexible, experienceor context-dependent representation of an object's value (Elliott et al. 2000, Kringelbach 2005, Kringelbach & Rolls 2004, Morris & Dolan 2004), and the anterior insula, which is involved in representing interoceptive cues (Craig 2002, 2003; Dunkley et al. 2005; Wiens 2005). Both the BL and lateral OFC have robust connections with cortical representations of every sensory modality and have strong reciprocal connections (Ghashghaei & Barbas 2002, Kringelbach & Rolls 2004, McDonald 1998, Stefanacci & Amaral 2002), so that they form a functional circuit that integrates sensory (including somatovisceral) information. This information is needed to establish (at least initially) a valuebased representation of an object that includes both external sensory features of the object along with its impact on the homeostatic state of the body. One recent formulation argues that the BL complex formulates the predictive value of a stimulus, whereas the OFC participates in generating a response based on that prediction (Holland & Gallagher 2004).

The second circuit, entailing a neural representation that guides visceromotor control, involves reciprocal connections between the ventromedial prefrontal cortex (VMPFC), including the closely related subgenual anterior cingulate cortex (ACC) and the amygdala, which together modulate the visceromotor (i.e., autonomic, chemical, and behavioral) responses that are part of the valuebased representations of an object (Koski & Paus 2000). VMPFC, in particular, may help to link sensory representations of stimuli and

their associated visceromotor (i.e., core affective) outcomes and provides an "affective working memory" whose contents inform choices and judgments contingent upon an assessment of affective value (as computed by the BL and lateral OFC). This conclusion fits with the finding that VMPFC (particularly the medial sector of the OFC) is important for altering simple stimulus-reinforcer associations via extinction (Milad et al. 2005, Phelps et al. 2004, Quirk et al. 2000) or reversal learning (Fellows & Farah 2003) and is preferentially activated by somatovisceral or interoceptive information (Hurliman & Parlo 2005) more generally. The representations encoded in VMPFC may also be useful for decisions based on intuitions and feelings rather than on explicit rules (Goel & Dolan 2003, Shamay-Tsoory et al. 2005), including guesses and familiarity-based discriminations (Elliott et al. 1999, 2000; Schnider et al. 2000; Schnyer et al. 2005).

By virtue of a series of cascading routes, this ventral circuitry projects directly and indirectly (via ventral striatum) to hypothalamus and brainstem areas involved in computing value quickly and efficiently to influence the autonomic, chemical, and behavioral responses that help to establish an affective representation of an object. The resulting perturbations of the organism's somatovisceral state (or internal milieu) translate information about the external world into an internal affective code or representation (Damasio 1994, 1999; Nauta 1971). These representations make up a person's core affective reaction to an object or stimulus, directing the

body to prepare for some behavioral response toward that object. A neural representation that entails core affect not only musters attention toward an object (via the brainstem and basal forebrain) (Mesulam 2000, Parvizi & Damasio 2001), but also enhances visual processing of the object (Amaral et al. 2003, Freese & Amaral 2005; for a recent review, see Phelps 2006). This core affective state is also available to be experienced and can contribute directly to the contents of conscious experience. Furthermore, the circuitry is not only responsible for entailing a pleasant or unpleasant feeling, but it also may be involved in feelings of arousal, as it controls the degree of cortical arousal associated with feelings of alertness as well as the degree of physiologic arousal that is, at times, associated with feelings of activity and energy.

Although neural representations of core affect provide the substrates for experience, the contents of conscious experience may not directly reflect the operation of any single neural component involved in core affect computations. Consider, for example, that individuals with amygdala lesions do not report alterations in the experience of emotion (Anderson & Phelps 2002). This might mean that amygdala activity influences experience indirectly by influencing the perception of and memory for emotional events, rather than directly modulating experience per se (Anderson & Phelps 2001). Alternatively, the amygdala's impact on experience may have been missed by the memory-based measures of emotion used in this study, because such measures rely on semantic knowledge (Barrett 1997, Robinson & Clore 2002) that is preserved in those with amygdala lesions (Anderson & Phelps 2000), unless lesions take place during early development (Adolphs et al. 1997). However, many emotion induction experiments do not show increased amygdala activation, and those that do show it find that the increase occurs only early in the emotion induction process, when the stimulus is still being viewed, which suggests that the amygdala activity may not produce core affective

<sup>&</sup>lt;sup>8</sup>The VMPFC, OFC, and both the BL complex and central nucleus of the amygdala project to the ventral striatum (particularly the nucleus accumbens or NAcc shell), which is involved in orchestrating motor control (Grillner et al. 2005) and effortful behavior (Salamone & Correa 2002; Salamone et al. 2006). There is considerable debate about whether the NAcc dopamine system specifically supports rewarding outcomes, with some arguing that dopamine specifically supports reward behaviors (e.g., Schultz 2004, Schultz et al. 2000) or pleasant, high-arousal affective states (Knutson & Bhanjim 2006), and with others arguing against the dopamine-reward hypothesis (see Salamone et al., 2005).

**DMPFC:** dorsomedial prefrontal cortex experience but rather may be a neural precondition that allows for it.

Furthermore, there are mixed findings regarding the emotion experience of patients with OFC lesions, who report experiencing guilt, shame, and pride, although they do tend to report experiences of embarrassment that are dysregulated from the social context (Beer 2006). Other studies, however, indicate that individuals with OFC lesions report decreased affective reactions involved in empathy (Shamay-Tsoory et al. 2004), decreased regret (Camille et al. 2004), and alterations in experiences of anger and happiness (Berlin et al. 2004); individuals with bilateral OFC lesions report large alterations in emotion experience (Hornak et al. 2003).

# The Neurobiology of Emotional Contents Beyond Core Affect

Today, we know little about the contents that make up experiences of emotion beyond the abstract properties described by appraisal dimensions, and we know even less about the specific neural referents for these experienced contents. We do know, however, that humans are equipped both by nature and by culture with the mechanisms for making mental state attributions of the sort likely to be necessary for producing such contents.

Presumably, during a mental representation of emotion, a person makes an attribution about his or her own core affective state. Recent theoretical treatments have argued that mental representations of emotion emerge when core affective feelings are bound to conceptions of the situation and, in so doing, allow an attribution about the cause of one's core affective state (cf. Barrett 2006b, Frijda 2006, Russell 2003). The word "attribution" usually implies that affect has some priority as being real, while perceived causes about affect are not real, or that affect comes first, with attributions of cause coming later, perhaps via a set of rules that people use in an intentional fashion to figure out why they feel some affective state (Schachter & Singer

1962). A mental representation of emotion, however, is better thought of as a state of mind that instantaneously emerges when core affective feelings are experienced as causally linked to the psychological situation as it is perceived by the person. This view is consistent with recent evidence from social psychology indicating that perceptions of behavior do not occur independently from inferences about cause, but rather both processes proceed in parallel (Kunda & Thagard 1996, Lieberman et al. 2002, Reed et al. 1997, Smith & DeCoster 2000) and likely constrain one another, rendering perceptions of physical actions instantly into psychologically meaningful acts.

As illustrated in Figures 1 and 2, experiences of emotion activate two cortical regions that play a role in mental state attributions: medial prefrontal cortex (MPFC), including both the dorsomedial prefrontal cortex (DMPFC) aspect and the more dorsal extension of the VMPFC aspect, and the ACC. The consistent activation of these areas during experiments that involve the experience of emotion (but not necessarily its report) support the hypothesis that mental state attributions are involved in establishing a mental representation of emotion.

The functional contributions of the MPFC have yet to be precisely determined, but recent research and theorizing suggest that these brain areas jointly contribute to making mental state attributions (for reviews, see Adolphs 2001, Allman et al. 2001, Blakemore et al. 2004, Gallagher & Frith 2003, Lane & Garfield 2005, Lane & McRae 2004, Ochsner & Gross 2006), such as when a person makes judgments about or infers the psychological (including emotional) states of another person, or monitors, introspects, or makes inferences about his or her own moment-tomoment feelings (for a summary of studies, see Ochsner et al. 2004; also see Goldin et al. 2005; Mitchell et al. 2005b,c; Ochsner et al. 2005). Similar activations are observed when individuals process the affective connotations of words (Beauregard et al. 1997; Cato et al.

2004; Crosson et al. 1999, 2002), or pictures (for review, see Ochsner et al. 2004), or simulate the mental states (e.g., Mitchell et al. 2005a) and empathize with others (Shamay-Tsoory et al. 2003), presumably because these skills require mental state attributions. Several other lesion studies further suggest that damage to these areas changes the experience of both positive and negative affective feelings (e.g., Bechara et al. 1994, 1996; but see Camille et al. 2004, Dunn et al. 2006, Hornak et al. 1996), as well as the experience of emotion (e.g., Hornak et al. 2003, Weniger & Irle 2002). However, the measurement methods adopted in those studies do not allow for strong conclusions regarding the specific roles that various brain structures play in the experience of emotion.

Figure 2 indicates that both the rostral (so-called affective) and dorsal (or cognitive) ACC (Bush et al. 2000) showed a consistent increase in activation associated with mental representations of emotion. The specific functions of the ACC remain a matter of debate (see Allman 2001, Bush et al. 2000), so that its role in the experience of emotion remains speculative, but the ACC may signal the need to represent mental contents in consciousness so as to reduce conflict or seek greater understanding or control over them (Davidson et al. 2002, Lane & McRae 2004).

In addition to the MPFC and the ACC, a third cortical region identified as active in mental representations of emotion is the left inferior frontal cortex, also called ventrolateral prefrontal cortex (VLPFC). Several studies of response inhibition, response selection, and working memory have shown that the VLPFC is activated in the context of retrieving, maintaining, monitoring, and manipulating conceptual knowledge stored elsewhere in the brain (Gabrieli et al. 1998, Martin & Chao 2001, Poldrack et al. 1999, Wagner et al. 2001). VLPFC may play a supporting role to the MPFC, coming into play to retrieve conceptual knowledge about emotion, particularly when selecting an appropriate label for a core affective feeling. This conclusion is consistent with the fact that experiences of emotion consistently produce increased activation in the inferior aspects of BA 45, thought to be important for resolving competition between retrieved representations that are goalrelevant and -irrelevant competitors (Badre et al. 2005).

Finally, **Figure 2** also indicates a fourth cortical region corresponding to posterior cingulate or retrosplenial cortex (BA 31) was active during mental representations of emotion. Although the function of this area remains unclear (Maddock 1999), it may play a role in episodic memory processes supporting the experience of emotion (see, e.g., Mantani et al. 2005). The adjacent precuneus area is associated with self-related mental representations (Cavanna & Trimble 2006).

### A PSYCHOLOGICAL FRAMEWORK FOR UNDERSTANDING EMOTION EXPERIENCE

The evidence from both content-based and neurobiological (i.e., neuroanatomical, neuroimaging, and neuropsychological) analyses of emotion experience indicates that a mental representation of emotion can be (at least minimally) described as pleasure or displeasure experienced in conjunction with other mental contents deriving from mental state attributions (e.g., experiencing the psychological situation in a way that is causally linked to affective feeling). These findings are largely consistent with recent psychological treatments of emotion experience that hypothesize the psychological processes by which mental representations of emotion emerge. The central idea is that a mental representation of emotion on a particular occasion is a continuously changing stream of consciousness in which core affect continuously evolves, interacts with, and mutually constrains construals of the psychological situation (see Barrett 2006b, Barrett et al. 2006, Frijda 2006, Russell, 2003).

# **VLPFC:** ventrolateral prefrontal cortex

The remembered present: Edelman's view of consciousness, where memories are dynamically integrated with and constrain ongoing perceptual processing to form a conscious awareness of each moment

### Situated conceptualization:

multimodal, situation-specific conceptual representation of a category exemplar that derives from a highly diversified and flexible conceptual system instantiated by sensory and motor activity

Continuously through time, the brain is processing and integrating sensory information from the world, somatovisceral information from the body, and prior knowledge about objects and situations to produce an affective state that is bound to a particular situational meaning, as well as a disposition to act in a particular way. As a result, core affective feelings and construals of the psychological situation very likely are perceptually categorized and experienced as a single unified percept, much like color, depth, and shape are experienced together in object perception. Building on this percept, a mental representation of emotion may be an example of what Edelman (1989) calls "the remembered present."

Across this continuously varying landscape, patterns appear that occasionally constitute the conditions for the experience of emotion. In the view described here, an emotion experience is a conceptual structure stored in memory whose conditions include current perceptions, cognitions, actions, and core affect. A specific emotion conceptualization (e.g., a context-specific conceptualization of anger) is generated via a top-down, multimodel simulation that reinstates how these conditions have been experienced in the past, and this conceptual representation interacts with the existing affect-situation percept to produce the emergence of an emotion experience. In this way, a situated conceptualization (Barsalou 1999, 2003; Barsalou et al. 2003) of emotion (i.e., category knowledge about emotion that is situated in knowledge about the social world and is designed for action; Barsalou et al. 2003, Niedenthal et al., 2005) constrains the emerging perceptual categorization (for a discussion, see Barrett 2006b). In the resulting representation, core affect is foregrounded and bound to conceptions of the situation, and in so doing, transforms affect into an intentional state by allowing an attribution about its cause. The resulting experience is an emergent gestalt that corresponds to the colloquial notion of having an emotion.

As a result, when you sit around a table with others and a colleague argues against a

proposal that you have just offered, and you experience an unpleasant feeling with blood pounding in your ears, it is possible to say what the percept is (e.g., an instance of anger), to make reasonable inferences about it (e.g., you are angry because someone interfered with your goal), to predict how best to act on it (e.g., you are in a business meeting so you keep your voice measured and you do not scowl), and to communicate the experience of it to others (e.g., "I was so angry at today's meeting"). It is the content of these acts of categorization that make one feeling of anger distinct from another feeling of anger and different from any feeling of fear.

The general idea, then, is that people have affective information about their current relationship to the world, either at a sensory level via homeostatic feedback from the body or via neural representations of prior instances when an object or event predicted some homeostatic change. The affective information is not delivered as a specific interoceptive readout of autonomic activity or anything so precise. Rather, it is a core affective state that gives rise to feelings of pleasure or displeasure (and perhaps activation) that are linked to (but not completely derived from) ongoing automatic evaluations or primary appraisals of the world. The way that people conceptualize their affective state will depend on the knowledge about emotion that they bring to bear when categorizing it. A person might experience his or her core affective state as a particular sort of sadness, anger, or nervousness, depending on the conceptual knowledge that he or she brings to bear in that situation.

This view—that an experience of emotion is a state of mind whose content is at once affective (pleasant or unpleasant) and conceptual (a representation of your relation to the world around you)—is consistent with recent theoretical insights in the neurobiology of consciousness. There is a growing consensus that a conscious experience emerges when a selection of neuronal groups, coding for specific perceptual properties, fire together to form a temporary coalition or assembly of

synchronous neural activity (Crick & Koch 2004, Dehaene & Changeux 2004, Edelman & Tononi 2000, Engel & Singer 2001, Llinas et al. 1998). Reverberating, globally coordinated ("reentrant") neural activity of sufficient intensity and duration allows different sensorial features such as color, shape, sound, smell, and interoceptive cues, and, as we now suggest, core affect, as well as other cognitive contents like beliefs or memories, to bind together into a single experience (but for a dissenting view, see Dennett 1991, Zeki 2003).9 When people perceive some object or situation, they have a mental representation of something in the outside world. When core affect is simultaneously foregrounded (for any number of reasons), pleasure or displeasure and perceptions of the world are bound in a meaningful way, yielding a mental representation of emotion. This mental event stands for a person's inference about how psychologically meaningful events in the world are causally linked to his or her core affective feeling. Thus, we suggest that coordinated re-entrant neural activity of sufficient intensity and duration produces a unified conscious content, one type of which is the experience of an emotion.

### IMPLICATIONS FOR A SCIENTIFIC AGENDA

Using the framework provided by Searle's (1992, 2000, 2004) biological naturalism, we have argued that a scientific understanding of emotion experience requires rich, context-sensitive descriptions of what is experienced, the causal explanations of how those contents are implemented in the human brain, and an explanatory framework that neither reduces one to the other, nor confounds the two. In the following section, we briefly touch on six important implications of this framework for the scientific study of emotion experience.

### A Focus on the Heterogeneity of Emotional Life

By viewing experiences of emotion as conceptual acts, researchers can better map the richness and diversity in mental representations of emotion. Variation in conceptualizing an instance of core affect, whether because of language use, context, culture, or individual differences in prior experience, will produce variation in whether emotions are experienced, which emotions are experienced, and how they are experienced. As a result, it will be important to describe what is constant and what varies in the conceptual system for emotion from one person to the next. We presently know very little about the conceptual system that supports the mental representation of emotion, and we know even less about the neural referents for the conceptual system for emotion.

# The Functionality of Perceiving Core Affective Feelings as Emotions

An individual's momentary conceptualization of core affect, like all categorization, serves some function (even if maladaptive in a given instant). Categorization shapes core affect into a meaningful experience, allowing inferences about what caused the state, how to deal with the situation, and how to communicate efficiently their experiences of core affect to others. A better understanding of this categorization process will yield important insights into the functional nature of emotion experiences.

There are individual differences in the extent to which people represent emotional content over and above feelings of pleasure and displeasure. Studies have documented that people differ in emotional granularity, or the extent to which they characterize their experiences in discrete emotional versus broadly affective terms (Barrett 1998, 2004; Barrett et al. 2000, 2001; Lane & Schwartz 1987; Lane et al. 1997). These differences cannot be fully accounted for by verbal intelligence

<sup>&</sup>lt;sup>9</sup>Disunity can occur in rare cases of disorder where there are problems with feature binding, such as Balint's syndrome, in patients with commissurotomy, or in some cases of schizophrenia.

or how well people understand the meaning of emotion words. Individuals high in granularity represent mental contents in addition to valence, whereas those low in granularity represent their experiences primarily as feelings of pleasure or displeasure. Low granularity means that different negative (or different positive) emotion words are used interchangeably to describe the same experience (such that the use of multiple words is not necessarily a sign of the complexity of feelings). Conscious states have high informational value when they can be easily distinguished or differentiated from other different states (Edelman & Tononi 2000), so that a granular representation of emotion will allow a person to be more functionally effective, in part because it will differentiate among a large repertoire of possible causes for the experienced state, which potentially reduces uncertainty and provides information about what to do next (Barrett & Gross 2001).

### Core Affect is an Intrinsic Aspect of Consciousness

Those who write about consciousness assume that affect is intrinsic to conscious experience (cf. Edelman & Tononi 2000; Searle 1992, 2004). Pleasure and displeasure are not only mental contents that can be consciously experienced, but also are regulatory factors that play a broader role in selecting the contents of consciousness. Neuroanatomical evidence indicates that the circuitry underlying core affect (see Figure 1) entrains sensory processing by virtue of strong reciprocal projections to the brainstem and basal forebrain systems; these areas, in turn, have diffuse, unidirectional afferent projections to the rest of cortex and can influence the probability that neurons will fire throughout the entire cortical mantle (Mesulam 2000, Parvizi & Damasio 2001). In this way, core affect can enhance local sensory processing that is stimulus specific, so that a person can effectively and efficiently assess the relevance or value of the stimulus. Thus, areas involved with establishing a core affective state entrain ongoing processing throughout the rest of the cortex, selecting for neuronal assemblies that maximize reward or minimize threat, thereby influencing which contents are experienced in the moment and which are more likely to be stored in long-term memory (Edelman & Tononi 2000).

## Attention and the Experience of Emotion

Experiences of emotion are not sequenced, discriminable, conscious events distinct from nonemotion experiences. Neurobiological models of consciousness imply that incoming sensory information (such as that which entails a core affective state) modulates a preexisting conscious field rather than generating it anew (Llinas et al. 1998). This stream of core affect can be a central or a background feature (figure or ground) of consciousness, depending on where attention is applied. When core affect is in the background, it functions as background feelings (Lane & Garfield 2005) or background emotions (Damasio 1999) that color conscious experience in a less direct fashion but presumably have the potential to influence behavior implicitly (Berridge & Winkielman 2003, Winkielman et al. 2005). Backgrounded core affect is experienced as a property of the external world rather than as the person's reaction to it. We experience some people as nice and others as mean, some foods as delicious but others as distasteful, some pictures as pleasing and others as negative. It may be under these circumstances that core affect directly translates into a behavioral response. When core affect is foregrounded, it can be experienced directly as pleasant or unpleasant content and can serve as information for making explicit judgments and decisions (Clore et al. 2005, Schwarz & Clore 1983), or core affective feelings can be attributed to some situational cause, thereby forming the basis of an emotion experience (cf. Barrett 2006b, Russell 2003; but

see Frijda 2005, Lambie & Marcel 2002, who characterize backgrounded affect as emotion experience). Core affective feelings will be foregrounded, either because of their intensity, because of a goal to introspect, or because the situation cues attention. Clearly, a key question for future research is when and how foregrounding happens to produce the experience of "having an emotion."

# Common Substrates for Psychopathology

It seems likely at this point that many psychological disorders share a common or "transdiagnostic" (Harvey et al. 2004) disturbance in core affective processing (Barlow 2002) that involves vigilance to threat (Harvey et al. 2004, Quigley & Barrett 1999), is on a continuum with normal personality variability (Weinstock & Whisman 2006), and is linked to the short allele of a serotonin transporter (5-HTT) gene which produces an affective vulnerability to environmental stress (for a review, see Hariri & Holmes 2006). The brain structures and neurotransmitters (such as serotonin and dopamine) associated with the ventral system for core affect are implicated in a range of psychopathologies characterized by affective disturbances, including depression (e.g., Anand et al. 2005, Drevets 2000, Drevets et al. 1997, Hariri et al. 2005, Lacerda et al. 2004, Mayberg 1997, Pezawas et al. 2005), schizophrenia (e.g., Fahim et al. 2005a,b), obsessive-compulsive disorder (e.g., Nakao et al. 2005, Valente et al. 2005), posttraumatic stress disorder (e.g., Bryant et al. 2005; Rauch et al. 2000; Shin et al. 2004, 2005), social anxiety and generalized anxiety disorder (for a review see Stein et al. 2002), and panic disorder (e.g., Kent et al. 2005). Such a transdisorder affective syndrome, if it exists, might also predispose people to healthrelated problems as well (Gallo & Matthews 2003, Pressman & Cohen 2005).

Furthermore, which emotion is experienced, and how it is experienced, is a matter of intentional focus and interpretation, so that

## MORE ON THE FOREGROUNDING OF CORE AFFECT

The mechanisms by which affect is foregrounded or backgrounded remain to be specified, but it is generally accepted that an "attentional matrix" (Mesulam 2000) within the brain foregrounds particular contents of consciousness (whether core affect and/or beliefs about core affect) by modulating the intensity of neural firing in coalitions of neurons. This attentional matrix includes not only the core affect-driven bottom-up form of attention (supported by the brainstem and basal forebrain; Edelman & Tononi 2000, Parvizi & Damasio 2001), but also a top-down form of attention (supported by dorsolateral prefrontal, anterior cingulate, and parietal cortices) that is entrained by sensory stimulation of sufficient intensity or driven by an individual's processing goals (Crick & Koch 2004, Dehaene & Changeux 2004, Maia & Cleeremans 2005, Naghavi & Nyberg 2005). Whatever the mix of attentional factors, each person has one seamless flow of experience that is continually changing and can be more or less infused with some sort of affective content, depending on the focus of attention.

the conceptualization process may also act as a transdisorder vulnerability to mood-related disorders. Conceptualizing core affect might be thought of as a skill, in that some people are better than are others at tailoring conceptual knowledge to meet the needs of socially situated action (Barrett 2006b). This skill for wielding conceptual knowledge about emotion might be considered a core aspect of emotional intelligence (Barrett & Gross 2001) and is a central feature of emotion-focused psychotherapeutic treatments (Greenberg 1993, Moses & Barlow 2006).

# Cognition and the Experience of Emotion

Finally, the distinction between cognitive activity and emotion experience is probably better conceptualized as more of a gradient rather than two independent systems that can interact with one another. Although scientists are very used to thinking about cognitive events

(such as thoughts, memories, and beliefs) as separate from emotional events, this distinction is probably phenomenological rather than causal and does not seem to be respected by the brain. Brain structures at the heart of the neural circuitry for emotion (e.g., the amygdala) impact cognitive processing from early attention allocation (Holland & Gallagher 1999) through perceptual processing to memory (for a recent review, see Phelps 2006). Similarly, brain structures involved in the neural circuitry for cognition, such as DMPFC and VLPFC, have an intrinsic role in the experience of emotion (see **Figure 2**). Decision-making processes that are traditionally thought of in cognitive terms, such as moral reasoning, seem to have core affect as their basis (Greene et al. 2004, Haidt 2001), and unrelated experiences of emotion can color such diverse outcomes as economic decisions (Loewenstein & Lerner 2003) and stereotyping (e.g., Bodenhausen & Moreno 2000, DeSteno et al. 2004). Consider the distinction between feeling and thinking, compared with other phenomenological boundaries respected by the brain, such as visual and auditory processing. No one would ever mistake seeing for hearing (although one sensory representation might trigger another), but the same cannot be said for feeling and thinking.

#### **SUMMARY**

In much scientific writing about emotion, everyday words for emotion, such "anger," "sadness," and "fear," are used as technical terms to refer to both conscious events and to causal (i.e., behavioral, cognitive, or neurological) events, without a detailed exposition about how the two are related, because one is literally redefined in terms of the other. Although this approach has been expedient, it has left emotion experiences, a fundamental centerpiece in human existence, largely underspecified from a scientific standpoint.

In this chapter, we began by locating the study of emotion experience in a philosophical approach to understanding consciousness, because questions about emotion experience are essentially questions about consciousness and discussions about the nature of emotion experience are always grounded in some philosophical perspective, even if implicitly. Using Searle's (1992, 2000, 2004) biological naturalism, we argued that building a scientific model of emotion experience requires both a descriptive psychology of mental contents and a detailed neurobiology that entails them. We believe that words refer to mental states but not to the mechanisms that generated those states (Barrett 2006b, Russell 2003, Sabini & Silver 2005). Thus, we have argued that emotion words are not the names of things rather they demarcate mental representations that are constituted as feelings of pleasure or displeasure and socially situated conceptualizations of emotion.

We then outlined what is currently known regarding (a) psychological descriptions of the contents of mental representations of emotion and (b) the neural reference space (based on neuroanatomical, neuroimaging, and, to some degree, neuropsychological findings) that is correlated with those contents. In addition, we framed these findings within an emerging psychological model for the experience of emotion that describes mental representations of emotion as emergent phenomena, constructed from more basic affective and conceptual representations, and we discussed how the binding of core affect and conceptual knowledge might arise naturally from the neurobiological processes that allow neural activity to give rise to conscious content. Taken together, this framework sets a scientific agenda that affords several advantages for a psychological understanding of emotion experiences as real, potent, and important aspects of conscious life, as well as for understanding the role of emotion experience in the economy of the mind and behavior.

#### **SUMMARY POINTS**

- 1. A scientific understanding of emotion experience requires a rich, context-sensitive description of what is experienced, a causal explanation of how experienced content is constituted by the human brain, and an explanatory framework that neither reduces one to the other nor confounds the two.
- 2. At its core, the experience of emotion can be described a contentful state of pleasure or displeasure. Some degree of arousal may also be experienced. Some situation with a specific relational meaning is experienced simultaneously with and as having caused these affective feelings.
- 3. At present, it is not possible to explain how neural activity instantiates specific emotional contents (or any conscious content, for that matter). It is possible, however, to offer a preliminary sketch of a neural reference space for mental representations of emotion.
- 4. The available evidence on content and neurobiological accounting of emotion experience can be integrated into a broad framework that describes, in psychological terms, how the experience of emotion emerges from more basic processes. Core affect and construals of the psychological situation are perceptually categorized and experienced as a single unified percept. Conceptual knowledge about emotion constrains perceptual processing to shape the emergence of an experience of emotion.

#### **FUTURE ISSUES**

- 1. Regarding core affect: Is core affect a natural kind category? Are other contents, such as arousal, fundamental properties of core affect? Is there only one kind of pleasure (or displeasure) or many phenomenologically distinct feelings? What are processes by which and conditions for when affect is backgrounded and experienced as a property of the world or foregrounded and experienced as a property of the self?
- 2. Regarding other aspects of the psychological content of emotion experience: Beyond the abstract properties described by appraisal dimensions, what is the additional content that constitutes mental representations of emotion? Can any cognitive content play a role in shaping an emotion experience?
- 3. Regarding the neurobiological bases of emotion: Is the neural reference space misspecified, or incomplete? How do neurobiological processes give rise to the contents of emotion experience?
- 4. Regarding the mental representation of emotion: When and why is core affect conceptualized as emotion? What is the structure and content of the conceptual system that supports the mental representation of emotion and the neural referents that support this conceptual system? What are the individual differences in the content, structure, and function of the conceptual system? Do they relate to observed differences in emotional granularity, and what is their functional consequence? How do affect and conceptual knowledge about emotion constrain one another in real-time processing?

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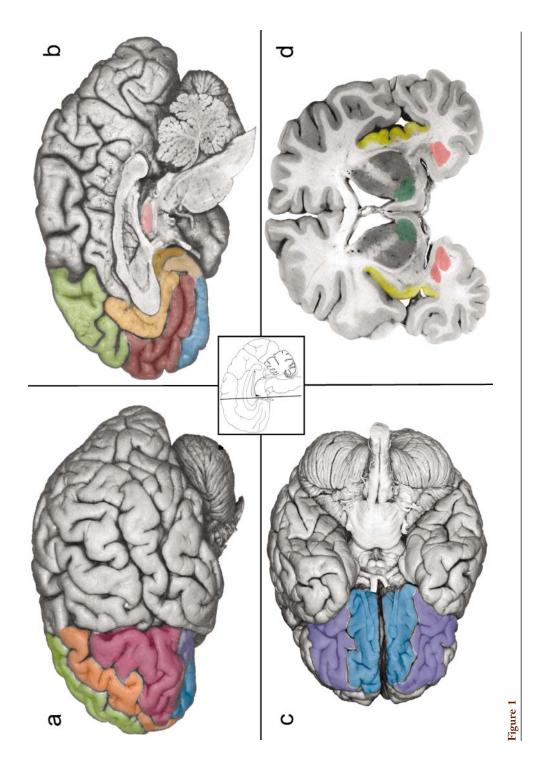
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#### Figure 1

Key brain areas in the neural reference space for mental representations of emotion. The ventral system for core affect includes two closely connected circuits that are anchored in the orbitofrontal cortex (the entire ventral surface of the front part of the brain lying behind the orbital bone above the eye; Figure 1c). The sensory system involves the lateral sector of the orbitofrontal cortex (OFC) and includes the lateral portions of BA 11 and 13, BA 47/12 (a, c, purple). It is closely connected to the anterior insula (d, yellow) and the basolateral (BL) complex in the amygdala (d, rose, ventral aspect). The visceromotor circuitry includes the ventral portion of the ventromedial prefrontal cortex (VMPFC), which lies in the medial sector of the OFC (a, b, c, blue) and includes medial BA 11 and 13 ventral portions of BA 10, as well as BA 14, where the medial and lateral aspects of OFC connect; VMPFC is closely connected to the amygdala (including the central nucleus, d, rose, dorsal aspect) and the subgenual parts of the anterior cingulate cortex involving the anterior aspects of BA 24, 25, and 32 on the medial wall of the brain (ACC; b, copper and tan). The dorsal system is associated with mental state attributions including the dorsal aspect of the VMPFC corresponding to the frontal pole in BA 10 (b, maroon), the anterior ACC (peach), and the dorsomedial prefrontal cortex (DMPFC) corresponding to the medial aspects of BA 8, 9, and 10 (a, b, green). Ventrolateral prefrontal cortex (VLPFC) is shown in red (a). Also shown for reference are the thalamus (b, light pink), the ventral striatum (d, green), and the middle frontal gyrus in the dorsolateral prefrontal cortex (a, orange). Photographs adapted from DeArmond et al. (1989, pp. 5, 7, 8, and 43).

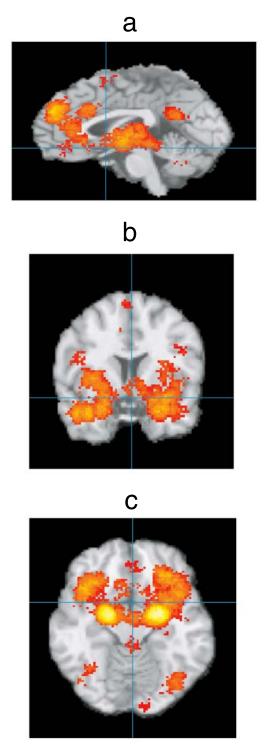


Figure 2

#### Figure 2

Preliminary summary of neuroimaging studies of core affective and emotion experiences. Activation foci were registered to a common stereotaxic brain atlas (Talairach & Tournoux 1988) where x = distance in millimeters to the right (+) or left (-) of midline; y = distance anterior (+) or posterior (-) to the anterior commissure; and z = distance superior (+) or inferior (-) to a horizontal plane through the anterior and posterior commissures. Midsagital (a, x = 0), coronal (b, y = 7), and horizontal (c, z = -13) images are presented. Significant areas of activation include OFC, insula, amygdala, ACC, and DMPFC (as well as VLPFC; not shown). VMPFC activations were also observed, but it is not clear that they extend down to the ventral surface, c, probably owing to problems with imaging that area of the brain. Lighter colors indicate a larger number of studies reported significant peak activations at that location (summary corrected for false discovery rate).



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### Errata

An online log of corrections to *Annual Review of Psychology* chapters (if any, 1997 to the present) may be found at http://psych.annualreviews.org/errata.shtml